RECEIVED **CENTRAL FAX CENTER** NOV 1 5 2006

RAYMOND A. JOAO, ESQ. 122 BELLEVUE PLACE YONKERS, NEW YORK 10703 (914) 969-2992

## FAX COVER SHEET

The United States Patent and Trademark Office To:

From: Raymond A. Joao, Esq.

Date: November 15, 2006

Fax No.: 571-273-8300

No. Pages: 33 (including cover)

Re: REQUEST FOR CONTINUED EXAMINATION (RCE) - U.S. Patent Application Serial No. 09/771,491

To Whom It May Concern:

Please find transmitted herewith a REQUEST FOR CONTINUED EXAMINATION (RCE) for filing in the above-identified application.

Respectfully Submitted,

Raymond A. Joao Reg. No. 35,907 Under the Paperwork Reduction Act of 1995 no persons are required to respond to a collection of information unless it displays a valid OMB control number

## RECEIVED CENTRAL FAX CENTER

## NOV 1 5 2006

PTO/SB/17 (07-06)
Approved for use through 01/31/2007. OMB 0851-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Effective on 12/08/2004.				Complete if Known								
Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).				Application Number 09/7		09/771,4						
FEE TRANSMITTAL For FY 2006			Filing Date		JANUARY 27, 2001							
			First Named Inv	entor	RAYMOND .	A. JOAO						
			Examiner Name T		T. HAVAN	HAVAN						
Applicant claims small entity status. See 37 CFR 1.27			Art Unit 36		3624	24						
TOTAL AMOUNT OF PAY	MENT (\$	395.00		Attorney Docker	No.	RJ180						
METHOD OF PAYMENT (check all that apply)												
Check XXX Credit Card Money Order None Other (please identify):												
Deposit Account Deposit Account Number: Deposit Account Name:												
For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)												
Charge fee(s) indicated below Charge fee(s) indicated below, except for the filling fee												
Charge any additional fee(s) or underpayments of fee(s) Credit any overpayments												
under 37 CFR 1,16 and 1,17 WARNING: Information on this form may become public. Credit card information ehould not be included on this form. Provide credit card												
Information and authorization on PTO-2038.												
FEE CALCULATION												
1. BASIC FILING, SEAF	FILING	EXAMINATION I FEES		CH FEES	EXAMIN	ATION FEES						
Application Type	Fee (\$)	Bmali Entity	Fee (\$)	Small Entity	Fee (\$)	Small Entity	Fees Pald (\$)					
Utility	300	<u>Fee (\$)</u> 150	500	l <u>Fee (\$)</u> 250	200	Fee (\$) 100	<u>;                                    </u>					
Design	200	100	100	50	130	65						
Plant	200	100	300	-150	160	80						
Reissue	300	150	500		600	300						
Provisional	200	100	.0	. 230	000	0						
2. EXCESS CLAIM FEE		100	.ν	U	U	v	Small Entity					
Fee Description						<u>Fee (\$)</u>	Feo (\$)					
Each claim over 20 (i						50	25					
Each independent cla	(including Reissu			200 360	100 180							
Multiple dependent claims  Total Claims Extra Claims Fee (\$) Fee				Paid (\$)			pendent Claims					
- 20 or HP =	<u> </u>	x	 = -			Fee (5)						
HP = highest number of total		•	Ena	Paid (\$)								
<u>Indep. Claims</u> • 3 or HP =	Extra Clair	<u>ms:                                      </u>	= <u></u>	<u>Paig (a)</u>								
HP = highest number of independent claims paid for, if greater than 3												
3, APPLICATION SIZE FEE If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer												
listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50												
sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).  Total Sheets Extra Sheets Number of each additional 50 or fraction thereof Fee (\$) Fee Paid (\$)												
100 = / 50 = (round up to a whole number) x =												
4. OTHER FEE(S) Fees Paid (S)												
Non-English Specification, \$130 fee (no small entity discount)												
Other (e.g., late filing surcharge): RCE FILING FEE \$395.00												
SUBMITTED BY	,	100										
	mm-C	18		Registration No. (Attomey/Agent)	35,90	7 Telephor	10914-969-2992					
Name (Print/Type) RAY	MOND A	JOAO		windle Ad. of		Date	11/15/06					

This collection of Information is required by 37 CFR 1.136. The Information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentially is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer. U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alaxandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alaxandria, VA 22313-1460.

If you need assistance in completing the form, call 1-800-PTO-8199 and select option 2.

Name (Print/Type)

# **CENTRAL FAX CENTER**

**2**005/033

NOV 1 5 2006

PTO/SB/17 (07-06)
Approved for use through 01/31/2007. QMB 0651-0032 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act	of 1895 no	persons are required to r	spond to a collection of information unless it displays a valid OMB control number									
Effective on : Fees pursuent to the Consolidated Ag		AAA 7005 (M E 4845)	Complete If Known									
l '	· ·		Application Num		09/771,491							
FEE TRAI	<b>12N</b>	IIIIAL	Filing Date	JAN	TANUARY 27, 2001							
For F	First Named Inv	entor RAY	RAYMOND A. JOAO									
K XX Applicant claims small entity	-talia Ci	- 27 CED # 27	Exeminer Name	T.	T. HAVAN							
			Art Unit	362	3624							
TOTAL AMOUNT OF PAYMENT	(\$) 3	95.00	Attorney Docket	No. RJ18	30							
METHOD OF PAYMENT (check all that apply)												
Check XXX Credit Card Money Order None Other (please identify):												
	Deposit Account Deposit Account Number: Deposit Account Name:											
	For the above-identified deposit account, the Director is hereby euthorized to: (check all that apply)											
Charge fee(s) indicated below Charge fee(s) indicated below, except for the filing fee												
Charge any additional fee(a) or underpayments of fee(s)												
WARNING: information on this form may become public. Credit card information should not be included on this form. Provide credit card												
Information and authorization on PTO-2038. FEE CALCULATION												
1. BASIC FILING, SEARCH,	AND EYA	MINATION FEES										
	ING FEE		RCH FEES	EXAMINATIO	N FEES							
Application Type Fee		Entity	Small Entity	8mall	Entity	res Paid (\$)						
Utility 30		e (\$) Fee () 50 500	<u>\$)                                    </u>	200 [(		EXPLINATE :						
Design , 20		00 100		1. 1								
Plant 20		00 300	50 150	•	55 — 30 —	~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~						
Reissue 30		50 500	250	600 30	- ·							
Provisional 20		00 0	250	0	` <u> </u>							
2. EXCESS CLAIM FEES	0 11	,	U	U	0	Entity						
Fee Description					Fee (\$) Fee	(\$)						
Each claim over 20 (includ						25						
Each independent claim ov	er 3 (incl	uding Reissues)				00						
Multiple dependent claims	Claba	F (A) F-	_ B_IJ (Å)			30						
<u>Total Claima</u> <u>Extra</u> - 20 or HP ■	Claims ,	<u>Fee (\$)                                  </u>	e Paid (\$)	-	luitiple Depender Fee (\$)	e Pald (\$)						
HP = highest number of total claims	paid for, if p	reater than 20.		•	100101	<u>o raio (v)</u>						
	Claims _		e Paid (\$)	_								
- 3 or HP = 1 x =												
3. APPLICATION SIZE FEE If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer												
listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50												
sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).  Total Sheets Extra Sheets Number of each additional 50 or fraction thereof Fee (\$) Fee Paid (\$)												
- 100 = / 50 = (round up to a whole number) x =												
4. OTHER FEE(8)  Non-English Specification, \$130 fee (no small entity discount)  Fees Paid (\$)												
Other (e.g., late filing surcharge): RCE FILING FEE \$395.00												
SUBMITTED BY	1.	0.00										
Signature Faism	111	2	Registration No.	35,907	Telephone 1 A	-969-2992						
Name (Print/Type) RAYMONI	DA	JOAO	(Attorney/Agent)	20,00,	Date ////5							

This collection of information is required by 37 CFR 1,136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This objection is estimated to take 30 minutes to complete, thering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, cell 1-800-PTO-9199 and select option 2.

#### RECEIVED **CENTRAL FAX CENTER**

### NOV 1 5 2006

I hereby certify that this correspondence is being transmitted via facsimile transmission to the United States Patent and Trademark Office at 571-273-8300 on November 15, 2006.

**RJ180** 

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT : RAYMOND A. JOAO

SERIAL NO.: 09/771,491

: JANUARY 27, 2001 FILED

FOR : APPARATUS AND METHOD FOR EFFECTUATING AN

AFFILIATED MARKETING RELATIONSHIP

EXAMINER : T. HAVAN

GROUP : 3624

Mail Stop RCE Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

#### STATEMENT OF THE SUBSTANCE OF THE EXAMINER INTERVIEW

Sir:

This is a Statement of the Substance of the Examiner Interview, which took place on August 8, 2006, in the aboveidentified application. Entry of this Statement of the Substance of the Examiner Interview is respectfully requested.

Claim 61 was discussed. The prior art discussed was Kolls, et al., U.S. patent No. 6,604,085. The prior art was discussed in relation to the claims and, in particular, the limitation "effectuating an affiliated marketing relationship . . . detecting an occurrence of at least one of a request for advertising space . .".

Entry of this Statement of the Substance of the Examiner Interview is respectfully requested.

Respectfully Submitted,

Raymond A. Joao Reg. No. 35,907

November 15, 2006

Raymond A. Joao, Esq. 122 Bellevue Place Yonkers, New York 10703 (914) 969-2992